





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No.01-1560)

PATENT

In the Application of:

Cai, et al.

Serial No.: 09/970,140

Filing Date: October 3, 2001

For: Novel Compounds

Examiner: Not yet assigned

Group Art Unit: 1614

TRANSMITTAL LETTER

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In regard to the above identified application,

1. We are transmitting herewith the attached:

- a) Petition for Extension of Time;
- b) copy of Notice to File Missing Parts;
- c) Response to Notice to File Missing Parts;
- d) Declaration and Power of Attorney; and
- e) return receipt postcard.

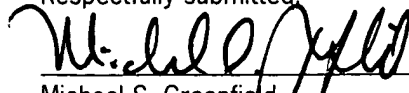
2. With respect to fees:

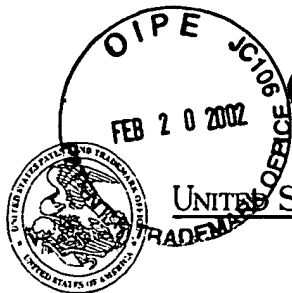
- a) A check in the amount of \$240.00 is enclosed.
- b) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.

3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 on January 23, 2002.

Date: January 23, 2002

Respectfully submitted,

  
Michael S. Greenfield  
Registration No. 37,142



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/970,140	10/03/2001	Xiong Cai	01-1560

CONFIRMATION NO. 9417

## FORMALITIES LETTER



\*OC000000007000137\*

Michael S. Greenfield  
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32nd Floor  
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Date Mailed: 10/31/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION


FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/26/2002 HMARZ11 00000021 09970140

01 FC:105

130.00 OP

~~02 FC:115~~~~110.00 OP~~